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**SECTION 2: FORM PTO 1449 - MODIFIED**
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Shapiro, Eileen C. Attorney Docket: 1525C/107

Serial No.: 09/538,556 Date: July 31, 2000

Date Filed: March 29, 2000

Invention: SYSTEM AND METHOD FOR FACILITATING BILATERAL AND MULTILATERAL DECISION-MAKING

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE STATEMENT**
**United States Patents**

Exam. <u>Init.</u>	Ref. <u>No.</u>	Document <u>Number</u>	Issue <u>Date</u>	Name	Class/ <u>Subclass</u>
	AA	5,072,385	Dec. 10, 1991	Rebeillard et al.	364/419 - 434/353
	AB	5,124,911	Jun. 23, 1992	Sack	364/401 - 705/10
	AC	5,884,270	Mar. 16, 1999	Walker et al.	705/1
	AD	5,978,768	Nov. 2, 1999	McGovern et al.	705/1

**Other Documents**

Exam. <u>Init.</u>	Ref. <u>No.</u>	
X	AE	<a href="http://www.onlineinsight.com/precision.solutions/choice.shtml">www.onlineinsight.com/precision.solutions/choice.shtml</a> "Precision Choice, the Ideal "Salesperson" Brought to the Internet," 3 pages.
J	AF	Philippe Cattin & Dick R. Wittink, "Commercial Use of Conjoint Analysis: A Survey," Journal of Marketing, Vol. 46 (Summer 1982), pgs. 44-53.
J	AG	<a href="http://www.uni-mainz.debohlp/cld.html">http://www.uni-mainz.debohlp/cld.html</a> "The Conjoint Literature Database," 33 pages.
J	AH	<a href="http://mijuno.larc.nasa.gov/dfc/ppt/cja.html">http://mijuno.larc.nasa.gov/dfc/ppt/cja.html</a> "Conjoint Analysis from the Perspective of Competitive Advantage" by Edwin B. Dean, 2 pages.

<u>Exam. Init.</u>	<u>Ref. No.</u>
8	AI <a href="http://mijuno.larc.nasa.gov/dfc/ppt/cjaB.html">http://mijuno.larc.nasa.gov/dfc/ppt/cjaB.html</a> "Conjoint Analysis Bibliography," 2 pages
8	AJ <a href="http://dssresearch.com/library/conjoint/conjoint.htm">http://dssresearch.com/library/conjoint/conjoint.htm</a> "A Review of Conjoint Analysis," 8 pages.
8	AK <a href="http://www.marketstrategies.com/itmethod/conjoint.htm">http://www.marketstrategies.com/itmethod/conjoint.htm</a> "Conjoint Analysis," 2 pages.
8	AL <a href="http://www.marketstrategies.com/itmethod/conjoint.htm">http://www.marketstrategies.com/itmethod/conjoint.htm</a> "Hypothetical Scenario," 3 pages.
8	AM Dick R. Wittink & Philippe Cattin, "Commercial Use of Conjoint Analysis: An Update," Journal of Marketing, Vol. 53 (July 1989), pgs. 91-96.
8	AN <a href="http://www.surveysite.com/docs/conjoint-intropage.html">http://www.surveysite.com/docs/conjoint-intropage.html</a> and <a href="http://www.surveysite.com/tutorconjoint4.html">www.surveysite.com/tutorconjoint4.html</a> , "Conjoint Analysis" and "Conjoint Analysis Tutorial," 8 pages total.
8	AO <a href="http://library.northernlight.com/AC19980501080019698.html?cb=O&amp;sc=O#doc">http://library.northernlight.com/AC19980501080019698.html?cb=O&amp;sc=O#doc</a> "How to redesign your organization to match customer needs" by Ken Toombs and George Bailey, from Planning Review, March 4, 1995, 5 pages.
8	AP <a href="http://library.northernlight.com/ZZ19980114050097922.html?cb=O&amp;sc=O#doc">http://library.northernlight.com/ZZ19980114050097922.html?cb=O&amp;sc=O#doc</a> "Perfect union" by Steven H. Cohen from Marketing Research, Spring 1997, 7 pages.
8	AQ <a href="http://www.prometrics.com/REFERENC/CONJOINT.html">http://www.prometrics.com/REFERENC/CONJOINT.html</a> "ProMetrics; OUR SERVICES; Conjoint Analysis," 2 pages.
8	AR "Modeling Preference in Conjoint Measurement" by Paul F. Hase; presented at the Sawtooth Software Conference: "Doing What We Couldn't Do Before," January 28-30, 1991, Sun Valley, Idaho, pages 207-224.
8	AS <a href="http://www.cnjnt.futurestep.com/cgi-bin-us/acqnet.pl">http://www.cnjnt.futurestep.com/cgi-bin-us/acqnet.pl</a> "Desired Job Characteristics," 9 pages.
8	AT <a href="http://www.laicompaass.com/hub.asp?candidateid=643741429">http://www.laicompaass.com/hub.asp?candidateid=643741429</a> , "LAI Compass; Analysis (Personal Style, Typical Activity, Activity Appeal,

Preferred Culture)," 27 pages.

<u>Exam. Init.</u>	<u>Ref. No.</u>	
	AU	<a href="http://www.themeetingpoint.com">www.themeetingpoint.com</a> "The Meeting Point," 1 page.
	AV	<a href='http://hotbot.lycos.com/?MT=dating+service+statistical&amp;SQ=1&amp;TR=25688&amp;BT=Search results for "dating service statistical,"'>http://hotbot.lycos.com/?MT=dating+service+statistical&amp;SQ=1&amp;TR=25688&amp;BT=Search results for "dating service statistical,"</a> 1 page.
	AW	Q.E.D. Software, Inc., "Choice Analysis <sup>SM</sup> Simulation Model - User's Guide," Version 2.1, December, 1999.
	AX	"Conjoint Analysis," published by Hase/Schannen Research Associates, Inc., 231 Clarksville Rd., P.O. Box 2061, Princeton, New Jersey; believed to be from presentations dating from the late 1980's and 1990's, 8 pages.
	AY	"Conjoint (Tradeoff) Analysis: Why We Use It, How It Works, and How The Results Can Be Analyzed," published by Hase/Schannen Research Associates, Inc., of Princeton, New Jersey, 38 pages.
	AZ	"Choice Analysis <sup>SM</sup> - A Method for Optimizing Strategies and for Identifying New Product Opportunities," published by Hase/Schannen Research Associates, Inc. of Princeton, New Jersey, 23 pages.
	BA	"New Techniques for Measuring Consumers' Judgments of Products and Services" by Paul E. Green and Yoram Wind, a Working Paper from Wharton School, University of Pennsylvania, September, 1974, 31 pages.
	BB	"New Way to Measure Consumers' Judgments" by Paul E. Green and Yoram Wind, Harvard Business Review, July, 1975.
	BC	Gralla, Pl, "How E-Mail Works," How the Internet Works, Ziff-Davis Press, 1996, pages 44-49.

Examiner:

Johnna Stypak 

Date Considered:

10-4-02

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NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

## **Section 6. Copies of Listed Information Items Accompanying This Statement**

**NOTE:** 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."

**NOTE:** The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Form PTO-1449 (PTO/SB/08A and 08B) accompany this information statement.

*(complete the following, if applicable)*

Exception(s) to above:

- Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
- Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying  
This Statement [6-1]—page 2 of 8)

**Section 10. Identification of Person(s) Making THIS INFORMATION  
DISCLOSURE STATEMENT**

The person making this statement is

(check each applicable item)

(a)  the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

(b)  an individual associated with the filing and prosecution of this application (37 C.F.R. 1.56(c))

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

(c)  the attorney who signs below on the basis of the information:

(check each applicable item)

supplied by the inventor(s).  
 supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. 1.56(c))  
 in the attorney's file.



SIGNATURE OF PRACTITIONER

Keith J. Wood

(type or print name of practitioner)

BROMBERG & SUNSTEIN LLP

P.O. Address

125 Summer Street, Boston, MA 02110

(Information Disclosure Statement—Section 10. Identification of Person(s) Making This Information Disclosure Statement [6-1]—page 8 of 8)